## COMMITTEE NOMINATION FOR JOINT DOCTORAL DEGREE

NOTE: All students must also complete the Conflict of Interest form A copy of the processed form will be sent via email to the student and department/institution

**Joint Doctoral Committee Regulations:** A minimum of two tenure-track faculty in student's UCSB major department and two tenure-track faculty in major department at partner institution.

Name:	P	Perm: UMail	l:	
Student's Signatu	re:	Date:		
<ul><li>if UC emerita/us, incl</li><li>identify member type</li></ul>	red Information, noting the fude month and year of retirement if serving in different roles on donly one doctoral committee (e.g.	at or if recall professor, include octoral committee (e.g., Chair, I		t dates
We have been nominated	and agree to serve on the above s	student's committee(s).		
Chair, Co-Chair, Member	Member Name (type or print)	-		
GRADUATE PROGRAM				
The Department of		recommends t	this committee nomination	and
☐ Confirms the commit	tee meets Joint Doctoral Degree requesting exception to Joint Do	regulations or		
JDP Director, Affiliated Insti	tute:	Signature	Date	
JDP Director, UCSB: ${Type\ oi}$	r Print Name	Signature		
Department Chair, UCSB: $T$		Signature	Date	
GRADUATE DIVISION		-		
	stitute:		Date	
Dean, UCSB:				
	ature		Date	

## UCSB GRADUATE STUDENT CONFLICT OF INTEREST FORM

The Conflict of Interest (COI) form must be completed, signed and submitted with the Masters Form I, Doctoral Degree Form I, or at any time the agreements or arrangements set forth below arise. The Policy on Conflict of Interest and Graduate Education, at <a href="http://www.graddiv.ucsb.edu/academic/conflict-of-interest">http://www.graddiv.ucsb.edu/academic/conflict-of-interest</a>, should be reviewed for guidance before filling out this form. If a conflict of interest related to this policy is identified, the procedures outlined in the Policy on Conflict of Interest and Graduate Education must be followed.

If you have any questions, please call the Graduate Division Academic Services unit: 805-893-2277

The UCSB Policy on Conflict of Interest and Graduate Education deals with any conflict of interest as defined in Section 028 of the Academic Personnel Manual (APM), adopted April 26, 1984. The policy is mandated by Section 025 of the Academic Personnel Manual (<a href="http://www.ucop.edu/academic-personnel/index.html">http://www.ucop.edu/academic-personnel/index.html</a>). This policy affirms joint student and faculty responsibilities as members of the University of California in relationship to potential conflicts of interest and provides mechanisms to ensure that outside activities are consistent with University policy.

## **Disclosures**

The following agreements or arrangements should be disclosed to the Dean of the Graduate Division as soon as the student becomes aware of the facts giving rise to the disclosure obligation:

- i) Agreements or arrangements between a student and a private entity involving research **or other professional activities as required by the graduate degree program** by the student, where the University or a mentor/research/thesis/dissertation advisor is a party to the agreement or arrangement, <u>and</u> the student's mentor/research/thesis/dissertation advisor has a financial interest in the private entity: *And one or more of the following is true:*
- a) The research or other professional activities as required by the graduate degree program are related to the student's project/thesis/dissertation, or
- b) There are restrictions on the student's ability to publish, present, or otherwise disclose the findings from their research activities.

## UCSB GRADUATE STUDENT CONFLICT OF INTEREST FORM

Name:	Perm:	Degree:			
UMail:					
Does a faculty member have a financial inte private entity with which the graduate stud			angement) in a Yes No		
If yes, the student's academic interests may be at ri findings from their research or make progress with sponsorship of the project or other requirements in	requirements of their program				
The UCSB Graduate Student Conflict of Interest P agreed upon.	olicy requires that this conflict	t be disclosed and that a m	nanagement plan be		
If the above answer is "Yes" please respond	to the following:				
(1) State the name of the faculty member with a fin (attach this description on a separate page)	nancial interest and describe t	he nature of the potential	conflict.		
(2) How does the Department propose to manage Recommendation that the Graduate Dean we thesis committee for the faculty member with	ork with the Department to fin	d a substitute on the stude	ent's dissertation or		
Limitation of the length or scope of student's	•				
Require that all student work to be conducte	d on-campus;				
Appointment of an additional member to ser member is chosen by the Department Chair consultation with the graduate student and the academic specialty (specify name	(or the Graduate Advisor if the deir dissertation advisor. The G	e Chair is the conflicted fa	culty member) in		
Any <b>other</b> condition that the Subcommittee implemented (specify).	<del></del>	ole to manage the conflict	may also be		
Our signatures certify that we have read the policy may be harmful to the academic interests of the abo		sure of any financial confl	ict of interest that		
Student - type or print name	'Signature		Date		
Dissertation Committee Chair-type or print name	Signature """"""""""""""""""""""""""""""""""""		"Date		
Major Department Chair - type or print name	'Signature		Date		
GRADUATE DIVISION					
Conflict Disclosed, Reviewed and Approved:	duate Division Dean/Associat	e Dean Date			
No Conflict:					